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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/988,103	11/13/2001	Terry S. Owens	8614.56	8070

21999 7590 03/10/2004

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EXAMINER

SOTOMAYOR, JOHN

ART UNIT

PAPER NUMBER

3714

DATE MAILED: 03/10/2004

12

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/988,103

Applicant(s)

OWENS ET AL.

Examiner

John L. Sotomayor

Art Unit

3714

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 26 January 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Continued Examination Under 37 CFR 1.114*

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on January 26, 2004 has been entered.

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any

Art Unit: 3714

evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

2. Claims 1-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Weingarden et al (US 6,164,975) in view of Luh (US 6,609,129) in further view of Sloane et al (US 5,813,863).

Regarding claim 1, Weingarden et al discloses a system, method and computer program product for instruction through the use of a plurality of nodes, a plurality of computer systems for storing information including source content using referential pointers that serve as anchors for the information, network inclusion, and an index which includes expressions based upon the relationships of a plurality of nodes (Col 1, lines 25-67 and Col 2, lines 1-40). Weingarden et al does not specifically disclose that anchors refer to an item from the list comprising a bookmark, link, note, or indication that refers to a particular location, file object or piece of information, establishing one or more nodes and relationships between said nodes as extracted from a plurality of anchors, an output means which renders an education expression based on the relationship of the one or more nodes, or an output device that renders an educational test question to a user. However, Luh teaches a master object that functions as an anchor (Col 3, lines 27-30) that establishes and manages the data relations for a particular product in an information module (Col 4, lines 3-17), and that data, which includes a plurality of data types including a database, video clip, image or wave file (Col 4, lines 23-24), from a plurality of nodes extracted from said anchors may be used to establish nodes and data relationships between

Art Unit: 3714

nodes including links between nodes (Col 4, lines 50-63). Also, Luh teaches the construction of a bill of material object and a related information object from the nodal relationships (Col 6, lines 1-19 and 40-45). Information objects are built as educational resources for retrieval from the database, and output to file and print capabilities are inherent features of any database system. In addition, Sloane et al teaches an educational system with a multimedia output channel that presents questions to a user in which said questions are based upon the relationship of one or more multimedia nodes (Col 7, lines 7-12). Therefore, it would have been obvious to one of ordinary skill in the art to produce a system for organizing electronic data relationships comprising electronic source content that includes a plurality of anchors as disclosed by Weingarden et al and extracting the anchors to establish one or more nodes and relationships between the nodes, a plurality of computing devices on a network, and an output device used to produce an education information object based on the relationship of the one or more nodes as taught by Luh, and an output device that renders an educational test question to a user as taught by Sloane et al for the purposes of cross-correlating educational information in multiple databases and presenting the output to a user to facilitate the user's learning experience.

Regarding claims 2 and 3, Weingarden et al discloses that the system may be used on a single computer system (claim 2) or on a plurality of computer devices (claim 3) (Col 2, lines 65-67).

Regarding claim 4, Weingarden et al discloses that the system and method that includes text that is preserved on a computer readable medium (Col 1, lines 40-45).

Regarding claim 5, Weingarden et al does not specifically disclose that the source content includes HTML code. However, Weingarden et al does disclose that the system is used to

Art Unit: 3714

provide presentations over a global computer network such as the Internet. The most common method of presentation over the Internet is through a Web browser, which is programmed in HTML. Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to provide a system and method wherein the source content includes HTML code.

Regarding claims 6 and 7, Weingarden et al discloses that the system and method utilizes a tree-based facility for nodes to establish a network of corresponding conceptual nodes (Col 1, lines 53-57).

Regarding claims 8 and 9, Weingarden et al discloses that the system utilizes a local area network (claim 8) and the Internet (claim 9) for network access and operation (Col 2, lines 33-40).

Regarding claim 10, Weingarden et al discloses a system with a computer readable medium accessible through the use of a web page (Col 2, lines 34-35).

Regarding claim 11, Weingarden et al discloses a system, method and computer program product for instruction through the use of a plurality of nodes, a plurality of computer systems for storing information including source content using referential pointers that serve as anchors for the information, network inclusion, preserving nodes and relationships in a computer readable medium, and an index which includes the relationships of a plurality of nodes (Col 1, lines 25-67 and Col 2, lines 1-40). Weingarden et al does not specifically disclose that relationships between the plurality of nodes are based on at least one of a time and space relation, an objective assignment of meaning relation, a subjective assignment of meaning relation, a planning relation, an implementation relation or a central relation, selectively providing one or more educational expressions based on the relationship that connects a first and a second nodes,

Art Unit: 3714

or an output device that renders an educational test question to a user. However, Luh teaches that a plurality of relationships between nodes are established (Col 4, lines 13-17) and that relationships between the plurality of nodes are based on at least one of a time and space relation, an objective assignment of meaning relation, a subjective assignment of meaning relation, a planning relation, an implementation relation or a central relation (Col 5, lines 13-37 and Col 6, lines 40-45) and selectively providing one or more educational expressions based on the relationship that connects a first and a second nodes (Col 3, 14-30 and 62-65). Multiple database objects are commonly located on separate computer readable media and addressed through separate node addressing. In addition, Sloane et al teaches an educational system with a multimedia output channel that presents questions to a user in which said questions are based upon the relationship of one or more multimedia nodes (Col 7, lines 7-12). Therefore, it would have been obvious to one of ordinary skill in the art at to provide a system and method for instruction through the use of a plurality of nodes, a plurality of computer systems for storing information including source content using referential pointers that serve as anchors for the information, network inclusion, preserving nodes and relationships in a computer readable medium, and an index which includes the relationships of a plurality of nodes as disclosed by Weingarden et al in which relationships between the plurality of nodes are based on at least one of a time and space relation, an objective assignment of meaning relation, a subjective assignment of meaning relation, a planning relation, an implementation relation or a central relation, selectively providing one or more educational expressions based on the relationship that connects a first and a second nodes as taught by Luh, and an output device that renders an educational test question to a user as taught by Sloane et al for the purposes of providing

Art Unit: 3714

educational expressions collected from multiple database nodes for facilitating the educational experience of the user.

Regarding claim 12, Weingarden et al discloses a method with steps for selectively associating objects to a plurality of nodes which includes a first node and a second node (Col 2).

Regarding claim 13, Weingarden et al discloses a method in which objects comprise one or more of text, graphics, audio and video files (Col 1, lines 40-46).

Regarding claim 14, Weingarden et al discloses a method for selectively providing information including receiving a request for one or more objects and providing the requested objects (Col 2).

Regarding claim 15, Weingarden et al does not specifically disclose that one or more identified objects are sent over a network via email. However, it is common and well-known to communicate objects over a global network such as the Internet through the use of an email program. Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to provide a system and method wherein one or more identified objects are sent via email.

Regarding claim 16, Weingarden et al discloses a method in which the establishment of network node connections is accomplished automatically (Col 1).

Regarding claims 17 and 18, Weingarden et al discloses a method in which establishing a referential pointer for use as an anchor for a plurality of nodes consists of locating an anchor in the source content (Col 2). Weingarden et al does not specifically disclose converting a first anchor into a first conceptual node. However, Luh teaches that data from an anchor is used to establish a first conceptual node (Col 4, lines 40-50). Therefore, it would have been obvious to



• Art Unit: 3714

one of ordinary skill in the art to provide a method in which establishing a referential pointer for use as an anchor for a plurality of nodes consists of locating an anchor in the source content as disclosed by Weingarden et al and converting the anchor to a conceptual node as taught by Luh for the purposes of providing greater ease of access to the data and relationships contained in the anchors of the database.

Regarding claim 19, Weingarden et al discloses a method in which an automated acquisition of data is accomplished through the system asking for information in order to elicit answers (Col 7).

Regarding claim 20, Weingarden et al discloses a system, method and computer program product for instruction through the use of a plurality of nodes, a plurality of computer systems for storing information including source content using referential pointers that serve as anchors for the information, network inclusion, preserving nodes and relationships in a computer readable medium, and an index which includes the relationships of a plurality of nodes (Col 1, lines 25-67 and Col 2, lines 1-40). Weingarden et al does not specifically disclose that anchors refer to an item from the list comprising a bookmark, link, note, or indication that refers to a particular location, file object or piece of information, establishing one or more nodes and relationships between said nodes as extracted from a plurality of anchors, an output which renders an education expression based on the relationship of the one or more nodes, or that that relationships between the plurality of nodes are based on at least one of a time and space relation, an objective assignment of meaning relation, a subjective assignment of meaning relation, a planning relation, an implementation relation or a central relation, or selectively providing one, more educational expressions based on the relationship that connects a first and a second nodes,

• Art Unit: 3714

or an output device that renders an educational test question to a user. However, Luh teaches a master object that functions as an anchor (Col 3, lines 27-30) that establishes and manages the data relations for a particular product in an information module (Col 4, lines 3-17), and that data, which includes a plurality of data types including a database, video clip, image or wave file (Col 4, lines 23-24), from a plurality of nodes extracted from said anchors may be used to establish nodes and data relationships between nodes including links between nodes (Col 4, lines 50-63). In addition, Luh teaches the construction of a bill of material object and a related information object from the nodal relationships (Col 6, lines 1-19 and 40-45) that a plurality of relationships between nodes are established (Col 4, lines 13-17) and that relationships between the plurality of nodes are based on at least one of a time and space relation, an objective assignment of meaning relation, a subjective assignment of meaning relation, a planning relation, an implementation relation or a central relation (Col 5, lines 13-37 and Col 6, lines 40-45) and selectively providing one or more educational expressions based on the relationship that connects a first and a second nodes (Col 3, 14-30 and 62-65). Information objects are built as educational resources for retrieval from the database, and output to file and print capabilities are inherent features of any database system, in addition, multiple database objects are commonly located on separate computer readable media and addressed through separate node addressing. In addition, Sloane et al teaches an educational system with a multimedia output channel that presents questions to a user in which said questions are based upon the relationship of one or more multimedia nodes (Col 7, lines 7-12). Therefore, it would have been obvious to one of ordinary skill in the art to produce a system for organizing electronic data relationships comprising electronic source content that includes a plurality of anchors, a plurality of computer systems for storing

• Art Unit: 3714

• information including source content using referential pointers that serve as anchors for the information, network inclusion, preserving nodes and relationships in a computer readable medium, and an index which includes the relationships of a plurality of nodes as disclosed by Weingarden et al and extracting the anchors to establish one or more nodes and relationships between the nodes, a plurality of computing devices on a network, and an output device used to produce an education information object based on the relationship of the one or more nodes in which relationships between the plurality of nodes are based on at least one of a time and space relation, an objective assignment of meaning relation, a subjective assignment of meaning relation, a planning relation, an implementation relation or a central relation, or selectively providing one or more educational expressions based on the relationship that connects a first and a second nodes as taught by Luh, and an output device that renders an educational test question to a user as taught by Sloane et al for the purposes of cross-correlating educational information in multiple databases and presenting the output to a user to facilitate the user's learning experience.

### ***Response to Arguments***

Applicant's arguments with respect to claims 1-20 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John L Sotomayor whose telephone number is 703-305-4558. The examiner can normally be reached on 6:30-4:00 M-F.

• Art Unit: 3714

• If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tom Hughes can be reached on 703-308-1806. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

jls  
March 5, 2004



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